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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PCT #6
1600-4300
1632

In re the Application of

James M. Wilson et al

Appln. No. 09/242,977

Filed: February 26, 1999

For: METHOD FOR RECOMBINANT
ADENO-ASSOCIATED VIRUS-
DIRECTED GENE THERAPY

) Group Art Unit:

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) Examiner:

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) April 30, 1999

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Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO-1449 document listing and this paper pursuant to 37 CFR §1.56 and §§1.97-1.98. Form PTO-1449 and this paper identify documents previously cited in a parent application.

According to 37 CFR §1.98(d), Applicants have not enclosed copies of any patent, publication or other information listed herein, which listed items were previously cited by, or submitted to, the United States Patent and Trademark Office in prior International Application No. PCT/US97/15692, filed September 4, 1997 and/or U. S. Patent Application No. 08/708,188, filed September 6, 1996, which applications are relied upon herein for an earlier priority date under 35 USC §120. A copy of item (AI) is enclosed herewith.

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

Signature

Date

[Signature]
4-30-99

This Information Disclosure Statement is submitted within three months of the filing date of this application, and prior to receipt of an Office Action on the merits. Therefore, under 37 CFR §1.97(b)(3) no fees or declarations are believed to be due. However, the Commissioner is hereby authorized to charge any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account No. 08-3040.

The Examiner is respectfully requested to consider the documents identified in the attached Form PTO-1449 during the course of the examination of this application.

Respectfully submitted,

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